

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiese: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/723,015	11/26/2003	Ronald W. Wortley	11984.5	1784
7590 08/04/2008 KIRTON & McCONKIE 1800 Eagle Gate Tower			EXAMINER	
			DAVIS, CASSANDRA HOPE	
60 East South Salt Lake City			ART UNIT	PAPER NUMBER
			3611	
			MAIL DATE	DELIVERY MODE
			08/04/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Application No. Applicant(s) 10/723.015 WORTLEY ET AL. Office Action Summary Examiner Art Unit Cassandra Davis 3611 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 01 February 2007. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-26 is/are pending in the application. 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1-26 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

Application Papers

9)☐ The specification is objected to by the Examiner.

10)☐ The drawing(s) filed on \_\_\_\_\_\_ is/are: a)☐ accepted or b)☐ objected to by the Examiner.

The drawing(s) filed on \_\_\_\_\_ is/are: a) \_\_\_ accepted or b) \_\_\_ objected to by the Examiner.
 Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).			
a)∐ All	b)  Some * c)  None of:		
1.	Certified copies of the priority documents have been received.		
2.	Certified copies of the priority documents have been received in Application No.		

3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)			
Notice of References Cited (PTO-892)     Notice of Draftsperson's Patent Drawing Review (PTO-948)     Information Disolocure Statements (PTO/SE/CS)	4) Interview Summary (PTO-413) Paper No(s)/Mail Date.  5 Notice of Informal Patent Application		
Paper No(s)/Mail Date	6) Other:		

Page 2

Application/Control Number: 10/723,015

Art Unit: 3611

#### DETAILED ACTION

### Claim Rejections - 35 USC § 102

 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- Claims 18-26 are rejected under 35 U.S.C. 102(b) as being anticipated by Scott, US 5316246.
- 3. With respect to claims 18, 19, and 23, Scott teaches a hose for IV solution and a clamp 11 attached to the hose, wherein the clamp has a marking surface adapted to receive tape or a label for identifying the medication flowing through the hose.
- With respect to claims 21 and 25, since Scott teaches using tape, it is inherent that adhesive tape can be removably retained on the clamp.
- With respect to claims 22 and 26, figures 3A and 3B clearly shows the marking surface and tape straddling the hose.
- 6. With respect to claims 20 and 24, the engagement is the adhesive on the tape.
- Claims 1-17 are rejected under 35 U.S.C. 102(b) as being anticipated by Wolfson, US 5281228.
- With respect to claims 1, 7, 8-14, Wolfson teaches an identification member 66, a cross member 64 attached to the identification member to retain the identification

Application/Control Number: 10/723,015 Page 3

Art Unit: 3611

member adjacent a hose clamp 62 and a member 78/82 for securing the identification device to a hose clamp.

- 9. With respect to claim 2, the identification member is plate 66.
- 10. With respect to claim 3, Wolfson also teaches a stabilizing plate 76/80 attached to the cross member 68, wherein the bottom portion of the stabilizing plate is parallel identification member.
- 11. With respect to claim 6, the planar surface of the identification device matches the planar surface of the hose clamp.
- Claims 1-9, 11, and 13, are rejected under 35 U.S.C. 102(e) as being anticipated by Schweikert, US 2003/0066218.
- 13. With respect to claims 1, 11, and 13, Schweikert teaches catheter information ring 110 comprising an identification member 124, 630 and a cross member 112, 602 attached to the identification member, wherein the cross member transverses a hose clamp 170 to retain the identification member 124, 630 adjacent the clamp independently of the hose on which the hose clamp is located. (See figures 1,2, 4-9). Schweikert also teaches a member 610 for securing the device to the hose clamp.
- 14. Referring to claim 12, Schweikert teaches a first connecting portion having an identification member 630, and a cross member 602 attached to the identification member 630, wherein the cross member transverses a hose clamp to retain the identification member 630 adjacent the clamp independently of the hose on which the hose clamp is located. Schweikert also teaches a second connecting portion 608 engageable with the first connecting portion, wherein the second connecting portion has

Application/Control Number: 10/723,015 Page 4

Art Unit: 3611

a cross member 610 having a curved or rounded portion which is loosely engageable against the curved portion 584 of the hose clamp. See paragraph 0044. The curved portion of the cross member 610 of the second cross member corresponds to the "member that engages the hose clamp" as claimed.

- 15. With respect to claim 2, the identification member is in the form of a plate. (See figures 1-12).
- 16. With respect to claim 6, the identification device substantially matches the contours of the hose clamp so no portions of the identification device substantially protrude beyond the hose clamp. (See paragraph 0042).
- 17. With respect to claim 7, the identification device is secured adjacent the hose clamp to reduce or prevent movement of the identification device independent of the hose clamp The information ring is adapted to have the hose or conduit extend through opening 122.

## Response to Arguments

18. Applicant's arguments with respect to claims 9-26 have been considered but are moot in view of the new ground(s) of rejection.

## Conclusion

 Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a). Application/Control Number: 10/723,015

Art Unit: 3611

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cassandra Davis whose telephone number is 571-272-6642. The examiner can normally be reached on Monday-Friday 8:00-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lesley Morris can be reached on 571-272-6651. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/723,015 Page 6

Art Unit: 3611

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cassandra Davis/ Primary Examiner Art Unit 3611

CD